

УНИВЕРСИТЕТ «ТУРАН – АСТАНА»



APPROVED
at the meeting of the Academic Council
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Chairperson of the Academic Council
G.A. Japarova



POLICY
on Sustainable Investment
of "Turan-Astana" University

ASTANA - 2025

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1. GENERAL PROVISIONS

1.1. This Policy defines the strategic directions of Turan-Astana University's activities in the field of sustainable development in accordance with the Sustainable Development Goals adopted by the United Nations.

1.2. The Policy is developed in accordance with the legislation of the Republic of Kazakhstan, the Development Program (Strategy) of Turan-Astana University for 2025-2029, and the university's internal documents.

1.3. The Policy is an internal regulatory document of the university and is mandatory for execution by all structural divisions and employees.

1.4. The scope of the Policy applies to: investments from the university's endowment fund; project financing of scientific and infrastructural developments; selection of partners for joint educational and research programs; distribution of grants and investments in startups supported by the university.

2. MISSION, GOALS AND OBJECTIVES

2.1. Mission: Formation of an innovative ecosystem for sustainable development through investments in human capital, startup support, and integration of technology with business.

2.2. Goal of the Policy is to establish principles and approaches to investment that ensure responsible and sustainable management of the university's financial resources, taking into account ESG factors, aimed at reducing investment risks, increasing transparency, and achieving a positive socio-environmental impact.

2.3. Main Objectives:

- Development of the entrepreneurial ecosystem TAU-InnoHub -- creation of a platform for startup support, conducting acceleration programs and startup funding challenge competitions to attract investment in student projects.
- Investment in digital transformation -- implementation of "smart university" technologies, development of laboratories (Huawei ICT Academy, Cisco Networking Academy) and immersive technologies (VR/AR).
- Integration of education, science, and business -- aligning educational programs with the real demands of the economy and employers, commercialization of scientific developments.
- Training personnel for sustainable development -- forming specialists capable of implementing innovative and sustainable solutions in business and society.

3. MAIN DIRECTIONS IN THE FIELD OF SUSTAINABLE INVESTMENT FOR ACHIEVING SDG 17

3.1. The Policy establishes the obligation of Turan-Astana University, when implementing investment activities (including the endowment fund, grant programs, project financing, and partnership initiatives), to direct resources towards strengthening global and national cooperation, mobilizing resources, technology transfer, and strengthening institutional capacity in accordance with SDG 17.

3.2. Key Investment Directions:

SDG 17 Target	University Investment Focus
17.1 (Mobilization of internal resources)	Projects on digitalization of accounting, improving financial literacy in the regions of Kazakhstan

17.3 (Additional resources for developing countries)	Investments in educational and social bonds, support for vulnerable groups through blended finance mechanisms
17.6 (Access to science, technology, and innovation)	Support funds for open data platforms, open-source educational resources
17.7 (Transfer of environmentally sound technologies)	Joint ventures with industrial partners on "green" technologies (campus energy efficiency, water purification)
17.9 (Building institutional capacity)	Investments in training for state and municipal employees on the university's base
17.14 (Policy coherence)	Financing only those projects that correspond to the national priorities of the Republic of Kazakhstan and the University Development Strategy

3.3. Principles of Investment for Sustainable Development Goal 17 are as follows:

- Principle of "No Partner in Isolation". It is prohibited to finance projects that do not have at least two stakeholders from different sectors (government, business, NPO, academic environment).
- Principle of Open Data. All recipients of investments (university partners) are obliged to publicly disclose: the volume of attracted resources, target beneficiary groups, the number of partnerships created.
- Principle of Catalytic Capital. The university invests only in projects where every invested tenge attracts at least 1.5 tenge from other sources (budget, business, international grants).
- Principle of Gender and Digital Equality. Priority is given to partnerships where the share of women among project leaders is at least 30% and equal access to digital educational technologies is ensured.

3.4. Implementation Tools

3.4.1. Blended Finance Mechanism. A special reserve (up to 10% of endowment fund assets) is formed to reduce the risks of projects in rural and remote regions of Kazakhstan.

3.4.2. Partnership Maturity Index (PMI). Each investment project will be evaluated according to 5 criteria:

1. existence of a written partnership agreement;
2. joint budget with risk distribution;
3. mutual accountability system;
4. absence of duplication with UN / Government of the Republic of Kazakhstan initiatives;
5. scaling plan through national mechanisms (NPP "Atameken", MNE RK, etc.).

3.4.3. Conditional Grants for Capacity Development. Up to 5% of the university's investment portfolio income is planned to be allocated for training employees and students and for ESG reporting.

3.4.4. Exclusions. Investments are not allowed if:

- the partnership includes jurisdictions or organizations under UN / RK sanctions;
- the project creates an unacceptable level of debt burden for beneficiaries (violation of Target 17.4);
- technology transfer is restricted by export control without the necessary licenses.

3.5. Monitoring and Reporting

3.5.1. Annual Partnership Report. Published on the university's website in a format compatible with the principles of the UN SDG Global Database, including:

- volume of catalytic investments;
- number of active cross-sectoral partnerships (PPP, university -- business -- NPO);
- share of projects using open educational APIs.

3.5.2. Independent Audit for Compliance with SDG 17. Every 2 years, it is recommended to conduct an assessment of how much the university's investment activity reduces the SDG financing gap in the region and the republic.

3.5.3. Revision of the Policy Section. The section is revised every 3 years or upon the emergence of new global and national frameworks (update of the Republic of Kazakhstan's Voluntary National Reviews, new ESG standards).

4. CONCLUDING PROVISIONS

4.1. This Policy comes into force from the moment of approval by the Academic Council of the university.

4.2. Changes and additions are made upon the submission of the supervising Vice-Rector and approved by the Academic Council.

4.3. Control over the implementation of the Policy is entrusted to the supervising Vice-Rector.

4.4. All structural divisions of the university are obliged to be guided by this Policy when planning and implementing investment and partnership projects.